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NEIGHBORHOOD COMPLEXES OF STABLE KNESER GRAPHS

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It is shown that the neighborhood complexes of a family of vertex critical subgraphs of Kneser graphs – the stable Kneser graphs introduced by L. Schrijver – are spheres up to homotopy. Furthermore, it is shown that the neighborhood complexes of a subclass of the stable Kneser graphs contain the boundaries of associahedra (simplicial complexes encoding triangulations of a polygon) as a strong deformation retract.

1. Introduction

In 1955 Martin Kneser [7] conjectured that if one splits the n-subsets of a (2n+k)-element set into k+1 classes, then one of the classes contains two disjoint n-subsets. In 1978 László Lovász [9] proved this conjecture – in graph-theoretic language a question about the chromatic number of the Kneser graphs – by introducing the concept of the neighborhood complex of a graph. He applied the Borsuk-Ulam theorem to show that if the neighborhood complex of a graph is topologically (k-1)-connected, then the graph is not (k+1)-colorable. Furthermore, he showed that the neighborhood complex of

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the Kneser graph $KG_{n,k}$ is (k-1)-connected, and thus proved the Kneser conjecture.

Shortly afterwards Imre Bárány [1] provided a very elegant and short proof also applying the Borsuk-Ulam theorem. In the same year Alexander Schrijver [12] used Bárány's method to obtain a family of vertex critical subgraphs – the family of stable Kneser graphs $SG_{n,k}$. For the definition, see Section 2.

It is natural to ask whether the chromatic number of these subgraphs $SG_{n,k}$ can be obtained by the Lovász method. If so, one would hope for a simple structure for their neighborhood complexes. They would have to be (k-1)-connected and not more. The most natural example of such a space is the sphere of dimension k. In fact, it was conjectured by Lovász and Schrijver [10] that the neighborhood complex of $SG_{n,k}$ has the homotopy type of the k-sphere. The main purpose of this paper is to show that this is indeed the case.

An interesting property of the neighborhood complexes of the family of stable Kneser graphs $SG_{2,k}$ is that they contain (as a deformation retract) the simplicial complex encoding triangulations of a (k+4)-gon – this is the boundary complex of a certain polytope known as the associahedron. We show this in the last section.

2. Preliminaries

We begin by recalling the definition of a Kneser graph, its vertex critical subgraphs defined by Schrijver, and the notion of the neighborhood complex of a graph. Let $n \ge 1$ and $k \ge 0$.

- The vertices of the Kneser graph $KG_{n,k}$ are given by the n-subsets of $[2n+k] := \{1, \ldots, 2n+k\}$; two of them are joined by an edge iff they are disjoint, see Figure 1. In 1955 Kneser [7] asked if the chromatic number of $KG_{n,k}$ is k+2.
- The neighborhood complex of a graph G = (V, E) is the simplicial complex on the vertex set V whose simplices are given by sets of vertices that have a common neighbor.
- A subset $v \subseteq [2n+k]$ is quasistable if for all $i \in [2n+k-1]$ the set $\{i,i+1\}$ is not contained in v. The set v is stable if it is quasistable and does not contain the set $\{1,2n+k\}$; i.e., a subset is stable if it does not contain two neighbors in the cyclic ordering of [2n+k].
- The vertices of the stable Kneser graph $SG_{n,k}$ (introduced in 1978 by A. Schrijver) are the stable n-subsets of [2n+k]; two of them are joined by an edge iff they are disjoint. $SG_{n,k}$ is an induced subgraph of $KG_{n,k}$,

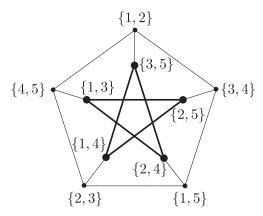


Fig. 1. The Kneser graph $KG_{2,1}$ and the stable Kneser graph $SG_{2,1}$.

and with respect to the chromatic number it is vertex critical [12]. In Figure 1 the stable Kneser graph $SG_{2,1}$ is indicated by the bold vertices and edges.

• The neighborhood complex of $SG_{n,k}$ is hence given by

$$\Sigma_{n,k} = \{\{v_1, \dots, v_s\} : \forall i(v_i \text{ vertex of } SG_{n,k}), \exists \text{ vertex } v(\forall i(v \cap v_i = \emptyset))\},$$

i.e., the faces of $\Sigma_{n,k}$ are given by any family of stable n-sets v_i in the complement of a stable n-set v.

• For each stable n-set $v \subseteq [2n+k]$ – a vertex of $SG_{n,k}$ – we define the neighbor facet $\Delta_v^{n,k}$ of v:

$$\Delta_v^{n,k} = \{w : w \subseteq [2n+k] \text{ stable } n\text{-set}, v \cap w = \emptyset\}.$$

We will omit the superscript whenever it will not cause confusion. The neighbor facets constitute the facets of $\Sigma_{n,k}$. We thus obtain the following description of $\Sigma_{n,k}$:

$$\Sigma_{n,k} = \{ F \subseteq \Delta_v : v \subseteq [2n+k] \text{ stable } n\text{-set} \}.$$

Examples.

- The only stable n-sets of [2n+0] are $\{1,3,5,\ldots,2n-1\}$ and $\{2,4,6,\ldots,2n\}$ and they are complementary. Hence $\Sigma_{n,0}$ is homeomorphic to the 0-sphere \mathbb{S}^0 .
- Each stable n-set of [2n+1] is characterized by a pair $\{i,i+1\}$ (mod 2n+1) of free positions in its complement. Thus, each such set admits two stable n-sets in its complement, constituting a 1-simplex of $\Sigma_{n,1}$. Together

these 1-simplices yield a 1-sphere, and thus $\Sigma_{n,1}$ is homeomorphic to \mathbb{S}^1 , cf. Figure 1.

• Stable 1-sets are just 1-sets by definition. Hence, for $\Sigma_{1,k}$ we obtain

$$\{\{v_1,\ldots,v_s\}: \forall i(v_i\subseteq [k+2] \text{ 1-set }), \exists \text{ 1-set } v\subseteq [k+2](\forall i(v\cap v_i=\emptyset))\}.$$

This complex is the boundary of a (k+1)-dimensional simplex. Therefore $\Sigma_{1,k}$ is homeomorphic to the k-sphere \mathbb{S}^k .

In general we cannot expect $\Sigma_{n,k}$ to be homeomorphic to the k-sphere: for example, $\Sigma_{2,2}$ is a pure 3-dimensional complex. Figure 2 illustrates the two prototypes $\Delta_{\{1,3\}}$ and $\Delta_{\{1,4\}}$ of facets of $\Sigma_{2,2}$.

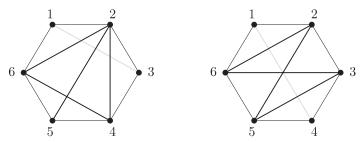


Fig. 2. The black diagonal edges in each polygon yield the vertices of a 3-simplex in $\Sigma_{2,2}$.

Moreover, the complexes $\Sigma_{n,k}$ are in general not even pure, as can be seen by considering the two prototypes of facets of $\Sigma_{3,2}$.

We now review a few helpful lemmas that are needed for the proofs. If a topological space X is covered by a family $(X_{\alpha})_{\alpha \in A}$ of subspaces we use the notation $X_{\sigma} = \bigcap_{\alpha \in \sigma} X_{\alpha}$ for subsets $\sigma \subseteq A$.

Gluing Lemma ([14]). Let $f: X \to Y$ be a continuous map of topological spaces, $(X_{\alpha})_{\alpha \in A}$ and $(Y_{\alpha})_{\alpha \in A}$ be closed, finite-dimensional, locally finite coverings of X and Y, respectively. Assume that the inclusions $X_{\sigma} \hookrightarrow X_{\tau}$ respectively $Y_{\sigma} \hookrightarrow Y_{\tau}$ are cofibrations for finite subsets $\tau \subseteq \sigma \subseteq A$. If $f(X_{\alpha}) \subseteq Y_{\alpha}$ for all $\alpha \in A$ and $f|_{X_{\sigma}}: X_{\sigma} \to Y_{\sigma}$ is a homotopy equivalence for all finite $\sigma \subseteq A$, then f itself is a homotopy equivalence.

In a contractible space the inclusion of a subspace can always be extended to a map of the cone over that subspace. Furthermore, this extended map is a homotopy equivalence. From this we deduce the following consequence of the Gluing Lemma.

Corollary Let X be a simplicial (or CW-) complex and A and B contractible subcomplexes with $A \cup B = X$. Then X is homotopy equivalent to the suspension susp $(A \cap B)$.

Multicone Lemma ([3], [5]). Let $\Gamma_1 \subseteq \cdots \subseteq \Gamma_l = \Gamma$ be simplicial complexes, and let $\Gamma_0 = \emptyset$. Assume there exist vertices w_1, \ldots, w_l such that for $i = 1, \ldots, l$ the assignment

$$F \longmapsto \begin{cases} F \cup \{w_i\} & , \text{ if } w_i \notin F, \\ F \setminus \{w_i\} & , \text{ if } w_i \in F. \end{cases}$$

maps $\Gamma_i \setminus \Gamma_{i-1}$ into itself. Then Γ is collapsible.

Nerve Lemma (see e.g. [2] or [11]). Let Γ be a simplicial complex and $(\Gamma_i)_{i\in I}$ a family of subcomplexes such that $\Gamma = \bigcup_{i\in I} \Gamma_i$ and every finite nonempty intersection $\Gamma_{i_1} \cap \cdots \cap \Gamma_{i_s}$ is contractible. Then the nerve complex

$$\mathcal{N}(\Gamma_i) := \left\{ \sigma \subseteq I : \sigma \text{ finite, } \bigcap_{i \in \sigma} \Gamma_i \neq \emptyset \right\}$$

is homotopy equivalent to Γ .

Contraction Lemma (see e.g. [4, Chapter VII]). If $f: A \longrightarrow X$ is a cofibration and A is contractible, then the collapse $X \longrightarrow X/A$ is a homotopy equivalence.

3. The neighborhood complexes of stable Kneser graphs are homotopy spheres

In this section we prove our main result.

Theorem 3.1. The simplicial complex $\Sigma_{n,k}$ is homotopy equivalent to the k-sphere \mathbb{S}^k for all $n \ge 1$ and $k \ge 0$.

The proof of the Theorem, which proceeds by induction on k, is given at the end of this section. We will cover the complex $\Sigma_{n,k}$ by two contractible subcomplexes that are shown to intersect up to homotopy in $\Sigma_{n,k-1}$.

Let for all $n, k \ge 1$ the subcomplexes $A_{n,k}$ and $B_{n,k}$ of $\Sigma_{n,k}$ be defined by:

$$A_{n,k} = \{ F \subseteq \Delta_v : v \text{ vertex of } \Sigma_{n,k} \text{ such that } 1 \notin v \}$$

 $B_{n,k} = \{ F \subseteq \Delta_v : v \text{ vertex of } \Sigma_{n,k} \text{ such that } 1 \in v \}.$

Obviously the union of $A_{n,k}$ and $B_{n,k}$ is $\Sigma_{n,k}$.

Proposition 3.2. For all $n, k \ge 1$ the complexes $A_{n,k}$ and $B_{n,k}$ are contractible to a point.

Proof. For notational reasons we prove that

$$A'_{n,k} = \{ F \subseteq \Delta_v : v \text{ vertex of } \Sigma_{n,k} \text{ such that } 2n + k \notin v \}$$

is contractible. In fact, we show that it is collapsible using the Multicone Lemma.

In order to use this Lemma we begin by defining a sequence $\Gamma_1 \subseteq \cdots \subseteq \Gamma_l = A'_{n,k}$ of ascending subcomplexes of $A'_{n,k}$. To do so order all stable *n*-sets $v \subseteq [2n+k]$, $2n+k \notin v$ lexicographically. Say $v_1 \prec \cdots \prec v_l$. For $i=1,\ldots,l$ define

$$\Gamma_i = \{ F \subseteq \Delta_{v_j} : 1 \le j \le i \}.$$

Next we define a set w_1, \ldots, w_l of vertices. Consider $v_i = \{a_1, \ldots, a_n\} \subseteq [2n+k-1]$, and define the stable n-set $w_i = \{a_1+1, \ldots, a_n+1\} \subseteq [2n+k]$, $i=1,\ldots,l$. Note that $w_i \in \Delta_{v_i}$ for $i=1,\ldots,l$. See Figure 3.

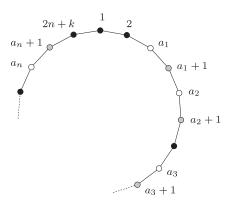


Fig. 3. The vertices v_i and w_i .

The last step is to investigate the map defined in the Multicone Lemma. Let $i \in \{1, \ldots, l\}$ and $F \in \Gamma_i \backslash \Gamma_{i-1}$ a simplex. If $w_i \notin F$ we map F to $F \cup \{w_i\}$. It is easy to see that $F \cup \{w_i\} \in \Gamma_i \backslash \Gamma_{i-1}$. If $w_i \in F$ then we map F to $F \backslash \{w_i\}$. In this case $F \backslash \{w_i\} \in \Gamma_i \backslash \Gamma_{i-1}$ for the following reason. Consider the support supp $(F) = \bigcup F \subseteq [2n+k]$ of F. The fact that $F \in \Gamma_i \backslash \Gamma_{i-1}$ implies that the lexicographically smallest stable n-set in $[2n+k] \backslash \sup(F)$ is v_i . Furthermore, $w_i \in F$ implies that in fact the first n elements of $[2n+k] \backslash \sup(F)$ are given by the set v_i . Hence $F \backslash \{w_i\} \in \Gamma_{i-1}$ only if the set $\{a_1,a_1+1,a_2,a_2+1,\ldots,a_n,a_n+1\}$ contains a stable n-set that precedes v_i in

the lexicographic order. But this is not the case. Thus the Multicone Lemma applies.

The complex

$$B_{1,k} = \{ F \subseteq \Delta_v^{1,k} : v \subseteq [2+k] \text{ 1-set}, 1 \in v \}$$

= \{ F \sum \Delta_{\{1\}}^{1,k} \}

is a k-dimensional simplex and therefore contractible. Thus, to prove the second part of Proposition 3.2 it suffices to show that $B_{n,k} \simeq B_{n-1,k}$ for all $n \ge 2$.

Consider the covering $(2^{\Delta_w})_{w \in \{v \subseteq [2n+k]: v \text{ stable } n\text{-set}, 1 \in v\}}$ of $B_{n,k}$, where 2^{Δ_w} is an abbreviation for the complex $\{F : F \subseteq \Delta_w\}$ of all faces of Δ_w . By the Nerve Lemma we obtain

$$B_{n,k} \simeq \mathcal{N}(2^{\Delta_w}) = \left\{ \{v_1, \dots, v_s\} : v_i \text{ stable}, 1 \in v_i, 2^{\Delta_{v_1}} \cap \dots \cap 2^{\Delta_{v_s}} \neq \emptyset \right\}$$

$$= \left\{ \{v_1, \dots, v_s\} : v_i \text{ stable}, 1 \in v_i, \exists \text{ stable } v(\forall i(v \cap v_i = \emptyset)) \right\}$$

$$= \left\{ \{v_1, \dots, v_s\} : v_i \text{ stable}, 1 \in v_i, \exists \text{ stable } v(2 \in v, 2n + k \in v \, \forall i(v \cap v_i = \emptyset)) \right\},$$

where the last equation follows by the stability of the vertices. Hence, by deleting the element $1 \in [2n+k]$ and identifying $2, 2n+k \in [2n+k]$ (see Figure 4) we obtain the following identification:

$$\mathcal{N}(2^{\Delta_w}) \cong \{ F \subseteq \Delta_v^{n-1,k} : v \subseteq [2(n-1)+k] \text{ stable } (n-1)\text{-set}, 1 \in v \}$$
$$= B_{n-1,k}.$$

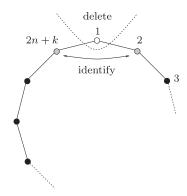


Fig. 4. Deletion and identification of elements in [2n+k].

In order to get a good description of the intersection $A_{n,k+1} \cap B_{n,k+1}$ we need the following preliminary fact.

Lemma 3.3. Let $v_w, v_b \subseteq [2n+k+1]$ be two stable n-subsets such that $1 \notin v_w$ and $1 \in v_b$. Then there exists a stable n-subset $v \subseteq [2n+k+1]$ with the following properties:

- (i) $v \subseteq v_w \cup v_b$,
- (ii) $1 \notin v$, and
- (iii) $2 \notin v$ or $2n+k+1 \notin v$.

Proof. Call $i \in [2n+k+1]$ black if $i \in v_b$ and white if $i \in v_w$. In general, it can happen that i is black and white.

Case (1): $2 \notin v_w$ or $2n+k+1 \notin v_w$. Set $v=v_w$.

Case (2): $2 \in v_w$ and $2n+k+1 \in v_w$. Consider the sequence $2,3,4,\ldots$. By the stability of v_b and v_w the numbers in the sequence are colored white and black alternately until there is a non-colored number. Non-colored numbers exist since 2n+k+1 > 2n. Let i be the smallest number such that i+1 is not colored (see Figure 5).

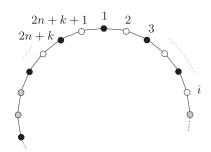


Fig. 5. Points in [2n+k+1] colored alternately.

If i is white, then set $v = \{2, 4, ..., i\} \cup \{\text{all black numbers } > i\}$, and if i is black, then set $v = \{3, 5, ..., i\} \cup \{\text{all white numbers } > i\}$.

Proposition 3.4. For all $n \ge 1$ and $k \ge 0$ we have a homotopy equivalence $A_{n,k+1} \cap B_{n,k+1} \simeq \Sigma_{n,k}$.

That is, $A_{n,k+1}$ and $B_{n,k+1}$ intersect up to homotopy in the neighborhood complex of a stable Kneser graph of dimension one less.

Proof. We compute the intersection

$$A_{n,k+1} \cap B_{n,k+1} = \begin{cases} F \subseteq \Delta_{v_w}^{n,k+1} \cap \Delta_{v_b}^{n,k+1} : v_w, v_b \subseteq [2n+k+1] \text{ stable } n\text{-sets, } 1 \notin v_w, \ 1 \in v_b \end{cases}$$

$$= \{ \{v_1, \dots, v_s\} : \forall i (v_i \subseteq [2n+k+1] \text{ stable } n\text{-set, } 1 \notin v_i), \exists \text{ stable } n\text{-set } v \text{ such that } 1 \notin v \text{ and } (2 \notin v \text{ or } 2n+k+1 \notin v), \forall i (v \cap v_i = \emptyset) \},$$

where the last equation is justified by Lemma 3.3. Now we delete the number $1 \in [2n+k+1]$, since it is not used for the vertices in the intersection. This forces us to consider quasistable n-sets as vertices.

$$A_{n,k+1} \cap B_{n,k+1} \cong \{\{v_1, \dots, v_s\} : \forall i (v_i \subseteq [2n+k] \text{ quasistable } n\text{-set}),$$

$$\exists \text{ stable } n\text{-set } v \subseteq [2n+k], \forall i (v \cap v_i = \emptyset)\}$$

$$= \{F \subseteq \bar{\Delta}_v^{n,k} : v \subseteq [2n+k] \text{ stable } n\text{-set}\},$$

where $\bar{\Delta}_v^{n,k} := \{w : w \subseteq [2n+k] \text{ quasistable } n\text{-set}, v \cap w = \emptyset\}$. Denote by $I_{n,k}$ this identified intersection of $A_{n,k+1} \cap B_{n,k+1}$. We observe the following.

- $\Sigma_{n,k} \subseteq I_{n,k}$, and hence an isomorphic copy of $\Sigma_{n,k}$ is contained in $A_{n,k+1} \cap B_{n,k+1}$.
- $\{v:v\subseteq [2n+k] \text{ quasistable } n\text{-set, } 1,2n+k\in v\}$ are the vertices of $I_{n,k}$ not used by $\Sigma_{n,k}$.

In order to describe $I_{n,k}$ in terms of $\Sigma_{n,k}$ we define two subcomplexes $C_{n,k}$ and $D_{n,k}$ which measure the surplus.

$$C_{n,k} = \left\{ F \subseteq \bar{\Delta}_v^{n,k} : v \subseteq [2n+k] \text{ stable } n\text{-set, } 1, 2n+k \not\in v \right\}$$
$$D_{n,k} = \left\{ F \subseteq \Delta_v^{n,k} : v \subseteq [2n+k] \text{ stable } n\text{-set, } 1, 2n+k \not\in v \right\}.$$

The facets of $C_{n,k}$ constitute all facets of $I_{n,k}$ containing vertices of $I_{n,k}$ not in $\Sigma_{n,k}$. Hence we have

$$I_{n,k} = \Sigma_{n,k} \cup C_{n,k}.$$

The intersection of $\Sigma_{n,k}$ and $C_{n,k}$ is given by simplices of $\Sigma_{n,k}$ that are contained in a facet of $C_{n,k}$, and therefore

$$D_{n,k} = \Sigma_{n,k} \cap C_{n,k}.$$

In order to show the homotopy equivalence

$$I_{n,k} \simeq \Sigma_{n,k}$$

it suffices to prove that $C_{n,k}$ and $D_{n,k}$ are contractible. The sufficiency can be seen by using the Gluing Lemma or the Contraction Lemma.

The contractibility of $C_{n,k}$ and $D_{n,k}$ is shown by using a multicone construction argument analogous to the one that we used in the proof of Proposition 3.2 to show the contractibility of $A_{n,k}$ (based on the Multicone Lemma).

Proof of Theorem 3.1 The Theorem can be deduced by induction from Propositions 3.2 and 3.4 using the Corollary of the Gluing Lemma.

4. Neighborhood complexes and associahedra

In the case n=2 the stable n-subsets of [k+4], i.e., the vertices of $\Sigma_{2,k}$, correspond to diagonal edges of a (k+4)-gon. For any stable 2-set $v \subseteq [k+4]$ the simplex Δ_v contains faces that correspond to triangulations of the (k+4)-gon, compare Figure 6. In fact, the simplicial complex Θ_k consisting of all k-dimensional simplices in $\Sigma_{2,k}$ that correspond to triangulations is a triangulated sphere. It was shown by Haiman [6] and Lee [8] that this sphere arises as the boundary complex of a (k+1)-dimensional simplicial polytope, which is called associahedron for the fact that triangulations of the (k+4)-gon correspond to ways of parenthesizing a sequence of k+3 symbols. We show that the subcomplex Θ_k of $\Sigma_{2,k}$ is in fact a strong deformation retract.

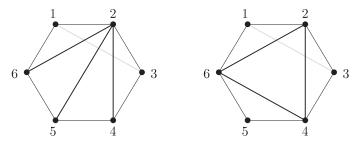


Fig. 6. The triangulations in the facet $\Delta_{\{1,3\}}$.

Consider the covering $(2^{\Delta_v})_{v \text{ stable}}$ of $\Sigma_{2,k}$ and the induced covering $(T_v)_{v \text{ stable}}$ of Θ_k , where $T_v = \Theta_k \cap 2^{\Delta_v}$. For example, in the case k = 2 the faces of $\Delta_{\{1,3\}}$ given by triangulations shown in Figure 6 yield the facets of $T_{\{1,3\}}$.

Lemma 4.1. For all $\sigma \subseteq \{v : v \text{ stable 2-set of } [k+4]\}$ the following inclusion is a homotopy equivalence

$$i: \bigcap_{v \in \sigma} T_v \hookrightarrow \bigcap_{v \in \sigma} 2^{\Delta_v}.$$

Proof. It suffices to show that for all σ

- the space $\bigcap_{v \in \sigma} T_v$ is empty if and only if $\bigcap_{v \in \sigma} 2^{\Delta_v}$ is empty,
- and $\bigcap_{v \in \sigma} T_v$ is contractible in the case where it is non-empty.

The first statement is clear. The second statement follows from the fact that any non-empty space $\bigcap_{v \in \sigma} T_v$ is a cone, which can be seen as follows. Consider a maximal sequence of consecutive numbers in $\bigcup_{v \in \sigma} v \subseteq [k+4]$ modulo k+4. The edge given by the predecessor and successor modulo k+4 of this sequence is contained in every facet of $\bigcap_{v \in \sigma} T_v$ (cf. Figure 7).

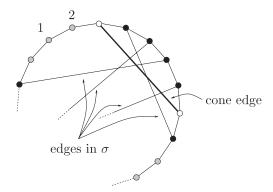


Fig. 7. The cone peak edge.

The Gluing Lemma now tells us that the inclusion map $i: \Theta_k \hookrightarrow \Sigma_{2,k}$ is a homotopy equivalence, i.e., Θ_k is a weak deformation retract of $\Sigma_{2,k}$. Since $(\Sigma_{2,k},\Theta_k)$ is a pair of simplicial complexes some elementary results from homotopy theory (cf., e.g., [13, p. 31 & p. 402]) imply the following.

Theorem 4.2. The subcomplex Θ_k (or, equivalently, the boundary complex of the (k+1)-dimensional associahedron) is a strong deformation retract of $\Sigma_{2,k}$.

Remark 4.3. Note that Theorem 4.2 implies Theorem 3.1 for the case n=2. This suggests the possibility of a more general result, based on finding suitable generalizations of the class of associahedra for n>2. We ask: Is there for all $n\geq 1$ and $k\geq 0$ a (k+1)- dimensional simplicial polytope whose boundary complex is contained in $\Sigma_{n,k}$ as a strong deformation retract?

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